

Message Text

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ACTION EUR-25

INFO OCT-01 ISO-00 CIAE-00 DODE-00 PM-07 H-03 INR-10 L-03

NSAE-00 NSC-10 PA-04 RSC-01 PRS-01 SPC-03 SS-20

USIA-15 SAJ-01 ACDA-19 IO-14 OMB-01 EB-11 COME-00

SNM-02 DEAE-00 HEW-08 CEQ-02 EPA-04 SCI-06 TRSE-00

NIC-01 DRC-01 /173 W

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R 221120Z DEC 73

FM USMISSION BERLIN

TO SECSTATE WASHDC 2776

INFO AMEMBASSY BELGRADE

AMCONSUL BREMEN

AMEMBASSY BONN

AMEMBASSY BUCHAREST

AMEMBASSY BUDAPEST

AMCONSUL DUESSELDOR

AMCONSUL FRANKFURT

AMCONSUL HAMBURG

AMEMBASSY LONDON

AMEMBASSY MOSCOW

AMCONSUL MUNICH

AMEMBASSY PARIS

AMEMBASSY PRAGUE

AMEMBASSY SOFIA

AMCONSUL STUTTGART

AMEMBASSY WARSAW

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C O N F I D E N T I A L SECTION 1 OF 3 BERLIN 2183

E.O. 11652: GDS

TAGS: PFOR, ETRD, US, GE, WB

SUBJECT: ANNUAL POLICY ASSESSMENT FOR GDR

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REF: BERLIN 2159

1. SUMMARY. UNLESS CURRENT INDICATORS PROVE DECEIVING, 1974, WILL BEAR WITNESS TO REGULARIZATION OF US-GDR RELATIONS. AS A CONSEQUENCE, U.S. WILL BE CONFRONTED WITH TASK OF SERIOUSLY DEFINING SCOPE AND NATURE OF ITS POLITICAL AND ECONOMIC INTEREST VIS-A-VIS EAST GERMANY. AT SAME TIME, INCREASING PARTICIPATION OF GDR IN MULTILATERAL FORA WILL PRESENT U.S. LIMITED BUT NOT NECESSARILY INCONSEQUENTIAL OPPORTUNITIES FOR EXPLOITING GDR PROCLIVITIES, NEEDS, AND ANXIETIES IN PURSUIT OF BROADER U.S. POLICY OBJECTIVES. U.S. EMBASSY IN EAST BERLIN WILL HAVE TO CONTEND WITH FRUSTRATIONS INHERENT IN TIGHTLY CONTROLLED ENVIRONMENT WHICH WILL BE COMPOUNDED BY UNIQUE OPERATIONAL PROBLEMS DUE TO PECULIARITIES OF GDR'S COMPETENCE IN VIEW OF CONTINUED QUADRIPARTITE RIGHTS AND RESPONSIBILITIES. END SUMMARY.

2. ON BASIS PREVAILING POLITICAL CLIMATE, EAST AND WEST, ONE MAY ASSUME THAT 1974 WILL MARK GENESIS OF FORMAL US-GDR RELATIONS. WHILE IN LARGE MEASURE SUCH AN ASSUMPTION FLOWS LOGICALLY AND NATURALLY FOR MOVES TOWARD DETENTE GENERALLY, AND FRG'S OSTOPOLITIK SPECIFICALLY, WE BELIEVE DIPLOMATIC RECOGNITION PRESUPPOSES THAT NATIONS ARE ABLE TO IDENTIFY SOME DEGREE OF BILATERAL BENEFIT AND ADVANTAGE TO BE GAINED BY NORMALIZING RELATIONS. ONE MOST ISSUES GDR AND U.S. INTERESTS WILL DIVERGE. ALTHOUGH THIS PRIMARILY A FUNCTION OF EAST-WEST DIFFERENCES, ESPECIALLY IN CONTEXT OF GERMAN PROBLEM, IT IS ALSO REFLECTIVE OF DISPARATE US-GDR ROLES IN OPPOSING SOCIO-ECONOMIC SYSTEMS. WE WOULD CONTEND, HOWEVER, THAT THERE ARE AREAS, ALBEIT LIMITED ONES, IN WHICH U.S. AND GDR MAY FIND IT MUTALLY ADVANTAGEOUS TO COOPERATE OR IN WHICH U.S. MAY BE IN POSITION TO EXERT LEVERAGE ON GDR IN PROMOTION OF SPECIFIC POLITICAL/ECONOMIC GOALS.

3. U.S. INFLUENCE IN GDR IS NOW AND FOR FORESEEABLE FUTURE WILL BE LARGELY CIRCUMSCRIBED BY: A) SOVIET DETERMINATION TO MAINTAIN CONTROL OVER KEY SALIENT IN CENTRAL EUROPE; B) DEEP-SEATED INSECURITY OF EAST GERMAN REGIME, CONVINCED THAT ITS VIABILITY IS ASSURED
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ONLY THROUGH CLOSEST ALIGNMENT WITH USSR: C) GDR IRRITATION WITH U.S. ROLE AS GUARANTOR OF STATUS OF BERLIN; D) LINGERING SUSPICIONS OF U.S. SUPPORT FOR PERCEIVED FRG DESIGNS UPON AND INTENTIONS TOWARD GDR: E) FEARS THAT RELAXATION OF DOMESTIC EXHORTATIONS TO AND CONTROLS OVER ITS POPULATION MAY LEAD TO IRREPARABLE RENTS AND TEARS IN EAST GERMAN SOCIAL-ECONOMIC FABRIC. AT SAME TIME, GDR INTEREST IN ESTABLISHING RELATIONS

WITH U.S., ACKNOWLEDGED LEADER OF WESTERN CAMP, AS
CAPSTONE ITS INTERNATIONAL LEGITIMACY, AS WELL AS DETENTE'S
SUCCESS IN FORCING AN INCREASED EXPOSURE OF EAST GERMANY TO
TO WEST, SHOULD OFFER U.S. BETTER POLITICAL/ECONOMIC
OPPORTUNITIES IN GDR THAN ANYTIME SINCE END OF WW II. SUCH
OPPORTUNITIES WOULD FURTHER IMPROVE OVER LONGER TERM, SHOULD
INCREASINGLY SELF-CONFIDENT GDR BE TEMPTED TO ADOPT A MORE
INDEPENDENT STANCE WITHIN THE SOVIET BLOC. ALTHOUGH FRG OFFERS
GDR UNIQUE COMMERCIAL ADVANTAGES NOT TO BE MATCHED BY
OTHERS, EAST GERMAN INTEREST IN ELUDING EMBRACE OF FRG
SUGGESTS THAT GDR MAY SEEK TO DIVERT SOME OF ITS COMMERCE
AWAY FROM BONN BY SECURITY ACCESS TO OTHER WESTERN,
INCLUDING U.S. MARKETS. WHILE WE CLEARLY SHOULD NOT
ATTEMPT TO INSINUATE OURSELVES INTO POSITION BETWEEN BONN
AND EAST BERLIN ON ISSUES CONSIDERED BY FRG TO BE IN ITS
VITAL INTERESTS, WE SHOULD BE PREPARED TO COMPETE FOR
SUCH COMMERCIAL ADVANTAGES AS ARE PRESENTLY AVAILABLE
IN GDR.

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4. NATURALLY, MOST IMMEDIATE AND OBVIOUS AREA OF US-GDR
POLITICAL ENGAGEMENT WILL CONTINUE TO BE IN BERLIN AND
OVER FUTURE OF GERMANY AS A WHOLE. ALTHOUGH GDR,
CONSTRAINED BY BROADER INTERESTS, HAS THUS FAR REFRAINED
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FROM SEEKING A BASIC ALTERATION OF ESTABLISHED ALLIED
PRACTICE IN THESE AREAS, FACT REMAINS THAT GDR FINDS
SITUATION, PARTICULARLY REGARDING BERLIN, ESPECIALLY
GRIEVOUS TO ITS CONCEPT OF SOVEREIGNTY AND WILL CONTINUE
TO ATTEMPT, WITH SOVIET CONCURRENCE, TO UNDERMINE CURRENT
REGIMEN. THEREFORE, A POINT COULD BE REACHED WHERE SUCH
ATTEMPT COULD RESULT IN DIRECT US-GDR CONFRONTATION. IN
OUR VIEW, IT IS ESSENTIAL TO PRECLUDE ANY EAST GERMAN
MISUNDERSTANDINGS AS TO U.S. INTERESTS IN REGARD TO BERLIN
OR GERMANY AS A WHOLE. WE SHOULD SEEK TO ACHIEVE THIS
PRIMARILY BY ESTABLISHING PRINCIPLES OF CONDUCT FOR ANY
U.S. PRESENCE IN EAST GERMANY TO ASSURE GDR IS NOT
INVOLVED IN ISSUES OUTSIDE ITS LEGITIMATE COMPETENCE,
AND BY HOLDING SOVIET UNION STRICTLY ACCOUNTABLE FOR EAST
GERMAN BEHAVIOUR ON ISSUES TOUCHING ON FOUR POWER RIGHTS
AND RESPONSIBILITIES.

5. INTERNALLY EAST GERMAN REGIME, RACKED BY DOUBTS AND
INSECURITIES AS TO ITS DOMESTIC ACCEPTANCE, CONTINUES TO
IMPOSE A CODE OF MORALLY DEBASING SOCIETAL BANS AND
CONTROLS OVER ITS POPULATION. U.S. ABILITY TO ALTER THIS
IN ANY MEASURE IS STRICTLY LIMITED, NOT ONLY BY
AUTHORITARIAN NATURE OF GDR SOCIETY BUT ALSO BY EAST GERMAN
VIGILANCE CAMPAIGNS INITIATED IN WAKE OF EAST-WEST
DETENTE. ON OTHER HAND, EAST GERMAN POPULACE, APPARENTLY
UNIMPRESSED BY GDR PROPAGANDA AND IN LARGE PART ISOLATED
FROM ANTI-AMERICAN TIRADES OF WESTERN EUROPEAN LEFT, IS
NOT ONLY DEEPLY CURIOUS ABOUT BUT BASICALLY FRIENDLY TO
U.S. UNDER CIRCUMSTANCES, WE WOULD EXPECT THAT A WELL-
DESIGNED U.S. INFORMATION AND CULTURAL PROGRAM FOR EXAMPLE,

MAY WELL BE ABLE TO NURTURE SOME POSITIVE VPUBLIC REACTION, IF NOT NECESSARILY TO U.S. POLICIES, TO U.S. SOCIETY GENERALLY. AT SAME TIME, INCREASED PERSONAL EXPOSURE BY EAST GERMANS TO AMERICA AND AMERICANS MAY BRING LONG-TERM POLICIAL REWARDS, AND WE SHOULD ENCOURAGE GREATER EFFORTS TO STIMULATE US-.GDR EDUCATIONAL AND PROFESSIONAL EXCHANGES AND TOURISM. INASMUCH AS GDR MEDIA COVERAGE OF U.S. WILL CONTINUE TO BE BIASED AND POLEMICAL, WE WOULD RECOMMEND THAT FUTURE U.S. FACILITATIVE ASSISTANCE FOR EAST GERMAN JOURNALISTS BE HIGHLY SELECTIVE.

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6 ONE OF POTENTIAL AREAS FOR PROFITABLE U.S. ENGAGEMENT OF GDR IS WITHIN INTERNATIONAL AND MULTILATERAL FORA, ALTHOUGH FOR POLITICAL/IDEOLOGICAL REASONS GDR WILL CAREFULLY EMBED ITS POSITIONS INTO THOSE OF SOVIET UNION. ON THOSE ISSUES WHERE IT PERCEIVES EITHER ITS SECURITY OR STABILITY AS BEING DIRECTLY THREATENED, SUCH AS CSCE OR MMFR, THE GDR MAY EVEN ARTICULATE POSITIONS NOTICEABLY MORE RIGID THAN ITS SOVIET MENTORS. CONTINUED DIFFICULTIES CAN ALSO BE EXPECTED ON THOSE MULTILATERAL ISSUES TOUCHING ON STATUS OF BERLIM. IN SOME MULTILATERAL AREAS, HOWEVER, U.S. AND GDR INTERESTS MAY CONVERGE AND THUS PROVIDE OPPORTUNITY FOR FRUITFUL COOPERATION. RECENT EXAMPLE WAS ICNAF, WHERE GDR, AWARE OF REAL HAZARDS OF OVER-FISHING, AGREED IN PRINCIPLE TO LIMITING TIS CATCH ALONG WITH OTHER MAJOR NATIONAL FISHERIES IN AREA. OTHER INTERNATINAL FIELDS IN WHICH U.S. MAY ENCOUNTER, OR INDUCE, REASONABLY COOPERATIVE GDR ATTITUDES WOULD BE ENVIRONMENT, ENERGY, HEALTH, AND NARCOTICS CONTROL.

7. COMMERCIAL SPHERE IS ONE IN WHICH PROSPECTS FOR TANGIBLE RESULTS ARE MOST PROMISING. GDR CAN BE EXPECTED TO PRESS FOR CONCLUSION OF FORMAL TRADE AGREEMENT, BUT EVEN IN ABSENCE OF SUCH AGREEMENT, PRESENT MINIMAL TRADE WITH U.S. COULD EXPAND RELATIVELY QUICKLY. USUAL PROBLEMS OF DEALING WITH CENTRALLY DIRECTED ECONOMY WILL REMAIN. BUT AN OFFICIAL PRESENCE IN EAST BERLIN COULD ASSIST AMERICAN PRIVATE SECTOR IN CULTIVATING GDR COMMERCIAL BUREAUCRACY, WHICH APPEARS TO BE ENTICED BY AMERICAN TECHNOLOGY/KNOW HOW AND BY PROSPECT OF DIVERSIFYIN WESTERN SOURCES OF SUPPLY. AN IMPORTANT DETERMINANT OF AMERICAN EXPORT GROWTH WILL BE U.S. ABILITY TO SUPPLY PRIORITY GDR NEEDS ON COMPETITIVE TERMS. ALTHOUGH GDR IS COMMITTED TO MAINTAINING A MAJOR SHARE OF ITS TRADE WITH SOCIALIST COUNTRIES, ITS ECONOMY NEEDS WESTERN COMMERCIAL AND TECHNOLOGICAL STIMULI IF IT IS TO MEET ITS PLANNED OJECTIVES. THIS IS, OF COURSE, ALSO VERY MUCH IN INTEREST OS SOVIETS, WHO DEPEND HEAVILY ON GDR DELIVERIES OF

FINISHED PRODUCTS. IF EAST GERMANS RELAX THEIR CURRENT
NEGATIVE ATTITUDE TOWARD INDUSTRIAL COOPERATION ARRANGE-
MENTS, THERE MAY EMERGE AND ADDITIONAL SIGNIFICANT POTENTIAL
FOR EXPANSION OF US-GDR ECONOMIC TIES. RECENT GDR-GM CON-
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TACTS SUGGEST THAT POSSIBLY SUCH RELAXATION NOT COMPLETELY
EXCLUDED, ALTHOUGH PROJECTS INVOLVING FRG-BASED AMERICAN
SUBSIDIARIES MIGHT CAUSE GDR POLITICAL DIFFICULTIES.

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INFO AMEMBASSY BELGRADE

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8. IN ADDITION, EAST BERLIN MAY WELL PROVE TO BE A USEFUL
AREA FOR SOUNDING INTRA-BLOC ACTIVITIES AND ATTITUDES.
GIVEN GDR'S POLITICO-ECONOMIC SIGNIFICANCE

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(EAST BERLIN IS CONSIDERED BY MANYEES SECOND ONLY TO
MOSCOW IN IMPORTANCE), EASTERN EUROPEANS TRADITIONALLY
HAVE STATIONED EXPERIENCED AND WELL-CONNECTED PERSONNEL
IN THEIR EAST BERLIN MISSIONS. CONTACT WITH SUCH
MISSIONW, WHILE NOT EAST TO DEVELOP, COULD BRING MOSAIC
OF EE ACTIVITIES INTO SHARPER RELIEF.

9. BASED ON EXPERIENCES OF OTHER WESTERN MISSIONS
ACCREDITED TO GDR, OUR EMBASSY IN EAST BERLIN CAN BE
EXPECTED TO ENCOUNTER CONSIDERABLE OPERATIONAL
DIFFICULTIES. WHILE ADMINISTRATIVELY THESE IN LARGE
MEASURE ENGENDERED BY AN OVER-TAXED AND CUMBERSOME
BUREAUCRACY, AS WELL AS REAL SHORTAGES IN AVAILABLE
HOUSING AND SERVICES, THEY ARE COMPOUNDED IN SUBSTANTIVE
AREAS BY TEUTONIC THOROUGHNESS WITH WHICH REGIME IMPOSES
AND IMPLEMENTS TOTALITARIAN CONTROLS OVER OFFICIAL AS
WELL AS UNOFFICIAL EAST GERMAN CONTACTS WITH WESTERNERS.
MOREOVER, GDR ACTIVITIES ARE CLOSELY MONITORED BY SOVIETS,
WITH SOVIET AMBASSADOR IN EFFECT PERFORMING PROCONSUL
FUNCTIONS. THUS SITUATION IS DESIGNED TO FOSTER ISOLATION,
FRUSTRATION AND WESTERN DIPLOMATIC INBREEDING. WE BELIEVE,
HOWEVER, THAT DESPITE ACKNOWLEDGED DIFFICULTY OF BY-PASSING
GOVERNMENTAL RESTRICTIONS, A BROADER AND MORE PROFITABLE
LEVEL OF CONTACT WITHIN GDR IS POSSIBLE THAN HAS HERETOFORE
BEEN ACHIEVED BY OUR WESTERN COLLEAGUES, IN FIRST
INSTANCE, BEHIND GOVERNMENTAL FACADE, MOST MAJOR DECISIONS
ARE MADE WITHIN SED COUNCILS. WHILE PARTY HIERARCHY, EXCEPT
ON FORMAL OCCASION, GENERALLY AVOIDS FOREIGN DIPLOMATS,
SOME OF SED-SPONSORED INSTITUTIONS HAVE DEVELOPED VESTED
INTERESTS IN DIRECT CONTACTS WITH U.S. DIPLOMATS IN WEST
BERLIN. THESE CONNECTIONS, WHICH IN PAST HAVE BEEN
PROFITABLE, SHOULD NOT ONLY BE MAINTAINED BUT ACTIVELY
DEVELOPED. NATIONAL FRONT REPRESENTATIVES, PARTICULARLY
THOSE WITH EITHER SECURITY OR IDEOLOGICAL PORTFOLIOS IN
SUPPORT OF SED, MAY ALSO BE SUSCEPTIBLE TO APPROACH.

CONTACT WITH POPULATION AT LARGE IS LIKELY TO BE SPORADIC
AND UNSTRUCTURED, BUT ON BASIS OUR EXPERIENCES IN LEIPZIG
AND ELSEWHERE, CITIZENRY IS SURPRISINGLY FRANK AND CORDIAL
AND ITS MOODS ARE RELATIVELY EASILY ASSESSED.KLEIN

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